

# Schur $\sigma$ -groups

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# Motivation

Let  $K$  be a number field and let  $\mathcal{O}_K$  be the ring of integers of  $K$ .

$\mathcal{O}_K$  is sometimes a UFD (Unique Factorization Domain) and sometimes not.

## Embedding Problem

Does there always exist a finite extension  $L/K$  such that  $\mathcal{O}_L$  is a UFD?

# Motivation

## Proposition

There exists  $L/K$  finite with  $\mathcal{O}_L$  a UFD  $\Leftrightarrow$  Hilbert class tower of  $K$  is finite.

## Hilbert class field tower of $K$

$$K = K_0 \subseteq K_1 \subseteq \dots \subseteq K_n \subseteq \dots$$

where  $K_{n+1}$  = maximal unramified *abelian* extension of  $K_n$ . We have  $\text{Gal}(K_{n+1}/K_n) \cong \text{Cl}(K_n)$  for all  $n \geq 0$ .

# Motivation

## Hilbert $p$ -class field tower of $K$

$$K = K_0 \subseteq K_1 \subseteq \dots \subseteq K_n \subseteq \dots$$

where  $K_{n+1} =$  maximal unramified *abelian*  $p$ -extension of  $K_n$ .

## Theorem (Golod-Shafarevich, 1964)

Embedding problem has a negative answer. Gave explicit examples of  $K$  with infinite Hilbert  $p$ -class tower for a prime  $p$   
( $\Rightarrow$  infinite Hilbert class tower).

## Example

$K = \mathbb{Q}(\sqrt{-2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot 13})$  has infinite 2-class tower.

# Schur $\sigma$ -groups

Let  $K^\infty = \bigcup_{n \geq 0} K_n$  and  $G = G_{K,p} = \text{Gal}(K^\infty/K)$ .

Koch and Venkov (1975): If  $K$  is imaginary quadratic and  $p$  is an odd prime then  $G$  is a Schur  $\sigma$ -group.

## Definition

Let  $G$  be a pro- $p$  group with generator rank  $d$  and relation rank  $r$ .  $G$  is called a **Schur  $\sigma$ -group** if:

- $d = r$  (“balanced presentation”).
- $G^{ab} := G/[G, G]$  is a *finite* abelian group.
- There exists an automorphism  $\sigma : G \rightarrow G$  with  $\sigma^2 = 1$  and such that  $\bar{\sigma} : G^{ab} \rightarrow G^{ab}$  maps  $\bar{x} \mapsto \bar{x}^{-1}$ .

# Finite Schur $\sigma$ -groups and towers

## Theorem (Koch-Venkov, 1975)

If  $G$  is a Schur  $\sigma$ -group ( $p$  odd) and  $d \geq 3$  then  $G$  is infinite.

An imaginary quadratic field with finite  $p$ -class tower ( $p$  odd) must have associated Galois group  $G$  with either  $d = 1$  or  $2$  generators.

If  $d = 1$  then  $G$  is cyclic and the tower has length 1. It follows that if the tower is finite of length  $> 1$  then  $d = 2$ .

# Finite Schur $\sigma$ -groups and towers

Despite a long history, very few finite examples are known. Until relatively recently all of the known examples of finite towers had length either 1 or 2.

## Example (B, 2003)

The field  $K = \mathbb{Q}(\sqrt{-d})$  for  $d = 445, 1015$  and  $1595$  has 2-class tower of length 3.

Many more examples have subsequently been found by Nover.

## Example (B-Mayer, 2012)

The field  $K = \mathbb{Q}(\sqrt{-9748})$  has 3-class tower of length 3.

## In case you were wondering...

Finite Schur  $\sigma$ -groups with arbitrarily large derived length do exist.

Let  $F = F\langle x, y \rangle$  be the free pro-3 group with  $\sigma : F \rightarrow F$  defined by  $x \mapsto x^{-1}$  and  $y \mapsto y^{-1}$ .

Define

$$G_n = \langle x, y \mid r_n^{-1}\sigma(r_n), t^{-1}\sigma(t) \rangle$$

where  $t = yxyx^{-1}y$  and  $r_n = x^3y^{-3^n}$  for  $n \geq 1$ .

### Theorem (Bartholdi–B, 2007)

For  $n \geq 1$ ,

- $G_n$  is a finite 3-group of order  $3^{3n+2}$ .
- $G_n$  is nilpotent of class  $2n + 1$ .
- $G_n$  has derived length  $\lfloor \log_2(3n + 3) \rfloor$ .



# Invariants of finite Schur $\sigma$ -groups ( $d = 2, p = 3$ )

A 2-generated 3-group  $G$  has 4 subgroups  $\{H_i\}_{i=1}^4$  of index 3.

## Definition

The **Transfer Target Type (TTT)** of  $G$  consists of the 4 groups  $H_i^{ab}$  where  $H_i^{ab} = H_i/[H_i, H_i]$  is the abelianization of  $H_i$ .

## Definition

The **Transfer Kernel Type (TKT)** of  $G$  consists of the kernels of the transfer (Verlagerung) maps from  $G^{ab}$  to  $H_i^{ab}$  for  $i = 1$  to 4.

If  $G = G_{K,3}$  then the TTT and TKT of  $G$  are explicitly computable in terms of  $K$  and certain low degree extensions (3-class groups and capitulation).

# Invariants of finite Schur $\sigma$ -groups ( $d = 2, p = 3$ )

## Theorem (B-Mayer, 2012)

Let  $G$  be a Schur  $\sigma$ -group satisfying:

- (i)  $G^{ab} \cong [3, 3]$
- (ii)  $TTT(G) = \{[3, 9]^3, [9, 27]\}$ , and
- (iii)  $TKT(G) = (\overline{H_1}, \overline{H_4}, \overline{H_3}, \overline{H_1})$  where  $\overline{H_i}$  denotes the subgroup in  $G^{ab}$  corresponding to  $H_i$ .

Then  $G$  is one of two possible finite 3-groups of order  $3^8$ . Both have derived length 3.

## Corollary

If  $G = G_3^\infty(K)$  satisfies the conditions above then  $K$  has 3-class tower of length exactly 3. e.g.  $K = \mathbb{Q}(\sqrt{-9748})$ .

# The $p$ -group generation algorithm

We make use of O'Brien's  $p$ -group generation algorithm (1990) to find candidates for certain special quotients of  $G$  (and eventually  $G$  itself).

This approach was first used by Boston and Leedham-Green (2002) on a slightly different but related problem.

## Lower exponent $p$ -central series of $G$

$$G = P_0(G) \geq P_1(G) \geq P_2(G) \geq \dots$$

where  $P_n(G) = P_{n-1}(G)^p [G, P_{n-1}(G)]$  for each  $n \geq 1$ .

If  $P_{n-1}(G) \neq 1$  and  $P_n(G) = 1$  then we say  $G$  has  **$p$ -class  $n$** .

# The $p$ -group generation algorithm

All  $d$ -generated  $p$ -groups can be arranged in a tree with root  $(\mathbb{Z}/p\mathbb{Z})^d$  at level 1 and the groups of  $p$ -class  $n$  at level  $n$ .

We define edge relations between groups in successive levels as follows:

## Edges between vertices at level $n$ and $n - 1$ :

If  $G$  has  $p$ -class  $n$  and  $H$  has  $p$ -class  $n - 1$  then we include an edge  $G \rightarrow H$  if and only if  $G/P_{n-1}(G) \cong H$ .

The algorithm provides an effective method for finding the (finitely many) immediate descendants of a given group  $G$  and hence enumerating the groups in the tree down to any level.

# A sketch of the proof

## Theorem (B-Mayer, 2012)

Let  $G$  be a Schur  $\sigma$ -group satisfying:

- (i)  $G^{ab} \cong [3, 3]$
- (ii)  $TTT(G) = \{[3, 9]^3, [9, 27]\}$ , and
- (iii)  $TKT(G) = (\overline{H_1}, \overline{H_4}, \overline{H_3}, \overline{H_1})$  where  $\overline{H_i}$  denotes the subgroup in  $G^{ab}$  corresponding to  $H_i$ .

Then  $G$  is one of two possible finite 3-groups of order  $3^8$ . Both have derived length 3.

We impose the constraints in the theorem to narrow down the search for larger and larger quotients  $G/P_c(G)$ . This is effective because they involve **inherited properties**.

# Inherited Properties

## Example

If  $G_2$  is any descendant of  $G_1$  then  $G_1$  is a quotient of  $G_2$  and so  $G_1^{ab}$  is a quotient of  $G_2^{ab}$ . If we are looking for groups  $G$  with  $G^{ab} \cong [3, 3]$  and we encounter a group  $G_1$  with  $G_1^{ab} \cong [3, 9]$  or  $[3, 3, 3]$  (or worse) then we can eliminate  $G_1$  and **all of its descendants** from our search.

Similar statements can be made for the abelianizations  $H_i^{ab}$ , the kernels of the transfer maps (once  $G^{ab}$  has stabilized), the existence of a  $\sigma$ -automorphism etc.

We also make use of a stabilization result due to Nover.

## Example

If  $G_2$  is an immediate descendant of  $G_1$  with  $G_2^{ab} \cong G_1^{ab}$  then  $G_i^{ab}$  remains fixed for all further descendants  $G_i$  of  $G_2$ .

## A sketch of the proof (cont'd)

Starting from  $G_1 = G/P_1(G) = [3, 3]$ , we find unique candidates for the quotients  $G_c = G/P_c(G)$  for  $2 \leq c \leq 4$  and 2 candidates for  $G_5$ .

There are 0 candidates for  $G_6$ . ie. no groups of 3-class 6 exist whose structure is consistent with the constraints in the theorem.

### Key Observation

If  $G$  were infinite then there would be  $p$ -class  $c$  quotients  $G/P_c(G)$  consistent with the constraints for all  $c \geq 1$ . Hence,  **$G$  must be finite.**

The constraints are satisfied exactly for the 2 candidates of 3-class 5 so  $G$  must be one of those two groups.

# Things to do

- Find criteria for finite towers of length  $\geq 4$ .
- Find results for other choices of  $p$  and/or that are independent of machine computation.
- Nonabelian version of the Cohen-Lenstra heuristics (joint work with Boston and Hajir).

THANKS FOR YOUR ATTENTION!